### ChE class and home problems

Class and Home Problems (CHP) present scenarios that enhance the teaching of chemical engineering at the undergraduate or graduate level. Submissions must have clear learning objectives. CHP papers present new applications or adaptations that facilitate learning in specific ChE courses. Submit CHP papers through journals.flvc.org/cee, include CHP in the title, and specify CHP as the article type.

# ANALOGY BETWEEN HEAT EXCHANGER AND PACKED COLUMN CALCULATIONS

#### Mingheng Li

California State Polytechnic University • Pomona, CA 91768

#### INTRODUCTION

The use of analogies in chemical engineering education helps students who may have a diverse science and engineering background visualize complex concepts and learn intuitively.<sup>[1,2]</sup> It offers a structural framework where students can link new and abstract topics to something that is more comprehensible, thus enhancing their learning experience and contributing to their fullest potential. Analogies are emphasized in the transport phenomena.<sup>[3,4]</sup> Examples include the thermal-electrical analogy of conductive heat transfer and Chilton and Colburn J-factor analogy relating friction factors, heat transfer coefficients, and mass transfer coefficients.

In heat exchanger calculations the effectiveness-number of transfer units (NTU) method is very useful if the two outlet temperatures are to be solved for a given exchanger.<sup>[4]</sup> It avoids the tedious trial-and-error procedure involved in the log mean temperature difference method. This paper presents an analogy between heat exchanger and packed column calculations that may aid student learning in transport and separation principles. Using this method, the outlet compositions of gas and liquid streams for a given packed column can be determined without the use of iterations. Even though the NTU is used interchangeably with  $N_{OG}$  (number of overall gas transfer units) to calculate the height of a packed column,<sup>[5,6]</sup> it is shown in this work that its definition must be modified in order to derive the same effectiveness-NTU equation. It is noted that the effectiveness-NTU has been applied to study mass transfer in countercurrent absorbers;<sup>[7]</sup> however, the nomenclature does not always follow that used in an exchanger. To the best knowledge of the author, this is

the first unambiguous presentation of such an analogy between the two unit operations.

#### ANALOGY BETWEEN PACKED COLUMN AND EXCHANGER

A schematic of a packed absorption column is shown in Figure 1. The gas and liquid streams flow in a countercurrent configuration. x and y represent the liquid and gas compositions, respectively. Subscripts i and o represent inlet and outlet, respectively.

The following assumptions are made in the model development:

- 1. The operation is at steady state.
- 2. Both gas and liquid streams are dilute. Their flow rates are constant along the column.
- 3. The gas-liquid equilibrium is a straight line.
- 4. The mass transfer coefficient is constant along the column.



Mingheng Li, PhD, is a full Professor of Chemical Engineering at California State Polytechnic University, Pomona. His research interests focus on process systems engineering for water, energy and materials applications. He has taught almost all of the courses in the undergraduate curriculum at California State Polytechnic University, Pomona. He earned his PhD from The University of California, Los Angeles. ORCID:0000-0002-6611-425X

© Copyright ChE Division of ASEE 2022

The rate of absorption in the control volume shown in Figure 1 can be written as:

$$-Gdy = -Ldx = K_y a A_c (y - y^*) dz$$
(1)

where  $K_y$  is the overall mass transfer coefficient based on the gas phase with a mole ratio driving force, *a* is the interfacial area per unit volume between gas and liquid, and  $A_c$  is the cross-sectional area of the column.  $y^*$  is the gas composition that is in equilibrium with *x*:

$$y^* = mx \tag{2}$$

where m is the slope of the equilibrium curve.

Following the equivalent resistance approach commonly used in heat transfer through a composite wall, Eq. (1) can be written as:

$$-\frac{dy}{\frac{1}{G}} = -\frac{mdx}{\frac{m}{L}} = -\frac{d(y-y^{*})}{\frac{1}{G} - \frac{m}{L}} = K_{y}aA_{c}(y-y^{*})dz \quad (3)$$

Treating  $(y - y^*)$  as a single variable, an integration of Eq. (3) from the bottom (*b*) to the top (*t*) of the column yields:

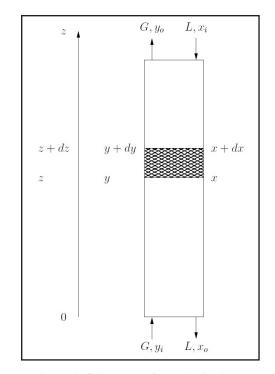


Figure 1. Schematic of a packed column.

$$H = \int_{b}^{t} dz = \int_{b}^{t} -\frac{1}{K_{y} a A_{c} \left(\frac{1}{G} - \frac{m}{L}\right)} \frac{d(y - y^{*})}{(y - y^{*})} = -\frac{1}{K_{y} a A_{c} \left(\frac{1}{G} - \frac{m}{L}\right)} \ln \frac{(y - y^{*})_{t}}{(y - y^{*})_{b}}$$
(4)

where H is the height of the column.

Note that Eq. (3) also implies:

$$-\int_{b}^{t} \frac{dy}{\frac{1}{G}} = -\int_{b}^{t} \frac{d(y-y^{*})}{\frac{1}{G} - \frac{m}{L}}$$
(5)

or

$$G\left(\frac{1}{G} - \frac{m}{L}\right) = \frac{(y - y^*)_b - (y - y^*)_t}{y_i - y_o}$$
(6)

Therefore,

$$H = -\frac{G}{K_{y}aA_{c}}\frac{(y-y^{*})_{b} - (y-y^{*})_{t}}{y_{i} - y_{o}}}\ln\frac{(y-y^{*})_{t}}{(y-y^{*})_{b}} = \frac{G}{K_{y}aA_{c}}\frac{y_{i} - y_{o}}{(y-y^{*})_{lm}}$$
(7)

where the log mean (lm) driving force is:

$$(y - y^*)_{lm} = \frac{(y - y^*)_t - (y - y^*)_b}{\ln\frac{(y - y^*)_t}{(y - y^*)_b}}$$
(8)

As a result, the packed column height can be described by:

$$H = N_{OG} H_{OG} \tag{9a}$$

Chemical Engineering Education

$$N_{OG} = \frac{y_i - y_o}{(y - y^*)_{lm}}$$
(9b)

$$H_{OG} = \frac{G}{K_{v}aA_{c}} \tag{9c}$$

where  $N_{OG}$  is number of overall gas transfer units and  $H_{OG}$  is the height of one overall gas transfer unit.

Eq. (9b) is much shorter than Colburn's equation:<sup>[8]</sup>

$$N_{OG} = \frac{1}{1 - \frac{mG}{L}} \ln\left[ \left( 1 - \frac{mG}{L} \right) \frac{y_i - y_o^*}{y_o - y_o^*} + \frac{mG}{L} \right]$$
(10)

Based on Eq. (7), the total mass transfer rate N is:

$$N = K_y a A_c H (y - y^*)_{lm} \tag{11}$$

An analogy between packed columns and heat exchangers can be clearly seen in Eqs. (12) and (13) below:

$$N = G(y_i - y_o) = \frac{L}{m}(y_o^* - y_i^*) = K_y a A_c H(y - y^*)_{lm}$$
(12)

and

$$q = C_h (T_{hi} - T_{ho}) = C_c (T_{co} - T_{ci}) = UA (T_h - T_c)_{lm}$$
(13)

where q is heat transfer rate in an exchanger, C is the product of mass flow rate and heat capacity, T is temperature, and U is overall heat transfer coefficient. Subscripts h and c represent hot and cold streams, respectively.

Following the same methodology used in the effectiveness-NTU method for an exchanger, the theoretical maximum mass transfer rate  $(N_{max})$  where the column is infinitely high is defined as:

$$N_{max} = \min\{G, L/m\} (y_i - y_i^*)$$
(14)

The effectiveness ( $\epsilon$ ) is defined as the ratio between the actual mass transfer rate (N) and the theoretical maximum mass transfer rate ( $N_{max}$ ). Or,

$$N = \epsilon \min\{G, L/m\} \left( y_i - y_i^* \right) \tag{15}$$

Moreover, the NTU in a packed column is defined as:

$$NTU = \frac{K_y a A_c H}{\min\{G, L/m\}}$$
(16)

Note that Eqs. (11) and (15) imply:

$$\epsilon \min\{G, L/m\} \left( y_i - y_i^* \right) = K_y a A_c H \left( y - y^* \right)_{lm} \tag{17}$$

A combination of Eqs. (16) and (17) yields:

$$\epsilon(y_i - y_i^*) = NTU(y - y^*)_{lm} \tag{18}$$

or,

$$\ln \frac{y_i - y_o^*}{y_o - y_i^*} = NTU \frac{(y_i - y_o^*) - (y_o - y_i^*)}{\epsilon(y_i - y_i^*)}$$
(19)

The left-hand side of Eq. (19) can be written as:

Vol. 56, No. 3, Summer 2022

$$\ln\frac{y_i - y_i^* + y_i^* - y_o^*}{y_o - y_i + y_i - y_i^*} = \ln\frac{1 - (y_o^* - y_i^*)/(y_i - y_i^*)}{1 - (y_i - y_o)/(y_i - y_i^*)} = \ln\frac{1 - \epsilon \min\{G, L/m\}/(L/m)}{1 - \epsilon \min\{G, L/m\}/G}$$
(20)

The right-hand side of Eq. (19) can be written as:

$$NTU \frac{(y_i - y_o) - (y_o^* - y_i^*)}{\epsilon(y_i - y_i^*)} = NTU \frac{N/G - N/(L/m)}{N/\min\{G, L/m\}}$$
(21)

Therefore, Eq. (19) is converted to:

$$\ln \frac{1 - \epsilon \min\{G, L/m\} / (L/m)}{1 - \epsilon \min\{G, L/m\} / G} = NTU \frac{1/G - 1/(L/m)}{1/\min\{G, L/m\}}$$
(22)

which can be further simplified as:

$$\ln \frac{1 - \epsilon C_r}{1 - \epsilon} = NTU(1 - C_r), \text{ if } G < L/m$$
(23a)

$$\ln \frac{1-\epsilon}{1-\epsilon C_r} = NTU(C_r - 1), \text{ if } G > L/m$$
(23b)

where the capacitance ratio  $(C_r)$  is defined as:

$$C_r = \frac{\min\{G, L/m\}}{\max\{G, L/m\}}$$
(24)

Eqs. (23a) and (23b) are essentially the same, regardless of the relative values of G and L/m. Starting from either Eq. (23a) or (23b),  $\epsilon$  may be solved as:

$$\epsilon = \frac{1 - \exp(-NTU(1 - C_r))}{1 - C_r \exp(-NTU(1 - C_r))}$$
<sup>(25)</sup>

If  $C_R = 1$ , Eq. (25) can be simplified using L'Hôpital's rule:

$$\epsilon = \lim_{C_r \to 1} \frac{1 - \exp(-NTU(1 - C_r))}{1 - C_r \exp(-NTU(1 - C_r))} = \frac{NTU}{NTU + 1}$$
(26)

Eqs. (25) and (26) are consistent with those in a countercurrent heat exchanger.<sup>[4]</sup> In the special case where  $C_r = 0$ , Eq. (25) becomes:

$$\epsilon = 1 - \exp(-NTU) \tag{27}$$

or

$$NTU = -\ln(1 - \epsilon) \tag{28}$$

For a heat exchanger,  $C_r = 0$  means one stream undergoes a phase change. For a packed column,  $C_r = 0$  if the equilibrium line is much lower than the operating line, or  $y^* \ll y$ . Such an assumption is usually made in limestone scrubber design for SO<sub>2</sub> removal.<sup>[9]</sup> In this case, the mass transfer rate is:

$$N = \epsilon \min\{G, L/m\} (y_i - y_i^*) = \epsilon G y_i$$
<sup>(29)</sup>

Therefore,  $\boldsymbol{\epsilon}$  may be interpreted as the removal efficiency of the absorbate.

When  $y^* \ll y$  is valid, Eq. (9b) can be simplified as follows:

$$N_{OG} = \frac{y_i - y_o}{(y - y^*)_{lm}} = \frac{y_i - y_o}{y_{lm}} = -\ln\left(\frac{y_o}{y_i}\right) = -\ln(1 - \epsilon)$$
(30)

which is consistent with Eq. (28).

The analogy between heat exchanger and packed column is summarized in Table 1. It is worth pointing out that  $N_{OG}$  is equivalent to *NTU* only when G < L/m. If G > L/m, from Eq. (16),  $NTU = \frac{K_y a A_c H}{L/m} = \frac{K_y a A_c H}{G} / \frac{L/m}{G} = N_{OG}/C_r$ . This is a major difference from previous work.<sup>[7]</sup> The effectiveness-NTU method is handy when the column height is specified while the two outlet compositions are to be determined.

TABLE 1           Analogy Between Heat Exchanger and Packed Column		
	Heat Exchanger	Packed Column
Transfer Rate	q	Ν
Capacitance 1	$C_h$	G
Capacitance 2	C <sub>c</sub>	L/m
Process Variable 1	$T_h$	у
Process Variable 2	T <sub>c</sub>	<i>y</i> *
Maximum Transfer Rate	$q_{max} = \min\{C_h, C_c\} (T_{hi} - T_{ci})$	$N_{max} = \min\{G, L/m\} (y_i - y_i^*)$
Log Mean Driving Force	$(T_h - T_c)_{lm}$	$(y-y^*)_{lm}$
Overall Conductance	UA	K <sub>y</sub> aA <sub>c</sub> H
Number of Transfer Units	$\frac{UA/\min\{C_h, C_c\}}{\frac{(T_{hi}-T_{ho})}{\Delta T_{lm}}} \text{ if } C_h < C_c$ $\frac{\frac{(T_{co}-T_{ci})}{\Delta T_{lm}}}{\frac{\Delta T_{lm}}{\Delta T_{lm}}} \text{ if } C_h > C_c$	$\frac{K_y a A_c H / \min\{G, L/m\}}{\frac{(y_i - y_o)}{(y - y^*)_{lm}}} = N_{OG} \text{ if } G < L/m$ $\frac{(y_o^* - y_i^*)}{(y - y^*)_{lm}} = \frac{N_{OG}}{C_r} \text{ if } G > L/m$
Capacitance Ratio	$C_r = \min\{C_h, C_c\} / \max\{C_h, C_c\}$	$C_r = \min\{G, L/m\} / \max\{G, L/m\}$

#### **ILLUSTRATIVE EXAMPLES**

**Example 1:** Acetone in air is being absorbed by water in a packed tower having a cross-sectional area of 0.186 m<sup>2</sup> at 293 K and 1 atm. At these conditions, the equilibrium relation is given by  $y^* = mx$ , where m = 1.186. The inlet air contains 2.6 mol % acetone and 0.5 % on outlet. The air flow is 13.65 kmol/hr, and the pure water inlet flow is 45.36 kmol/hr. Film coefficients for the given flow in the tower are  $\overline{k_y a} = 3.8 \times 10^{-2} \text{ kmol/(s \cdot m^3)}$  and  $\overline{k_x a} = 6.2 \times 10^{-2} \text{ kmol/(s \cdot m^3)}$ . Determine the tower height. Assume dilute streams. This problem is taken from the Geankoplis textbook.<sup>[4]</sup>

A summary of the solution is listed below. Detailed calculations are provided in a MATLAB<sup>®</sup> code in Table 2.

$$K_{y}a = \frac{1}{\frac{1}{k_{y}a} + \frac{m}{k_{x}a}} = 0.022 \text{ kmol/(m}^{3} \cdot \text{s})$$
$$H_{0G} = \frac{G}{K_{y}aA_{c}} = 0.926 \text{ m}$$
$$N_{0G} = \frac{y_{i} - y_{o}}{(y - y^{*})_{lm}} = 2.035$$
$$N_{0G} = \frac{1}{1 - \frac{mG}{L}} \ln\left[\left(1 - \frac{mG}{L}\right)\frac{y_{i} - y_{o}^{*}}{y_{o} - y_{o}^{*}} + \frac{mG}{L}\right] = 2.035$$
$$H = n_{0G}H_{0G} = 1.89 \text{ m}$$

As one can see, Eq. (9b) and Eq. (10) yield the same  $N_{OG}$ .

**Example 2:** The column height is given as 1.89 m. The inlet flow rates and compositions are the same as Example 1. The same  $K_v a$  and  $A_c$  can also be used. Calculate the outlet compositions  $x_o$  and  $y_o$ .

The effectiveness-NTU method is used to avoid iterations. A summary of the solution is listed below. Detailed calculations are provided in a MATLAB code in Table 2.

$$NTU = \frac{K_y a A_c H}{\min\{G, L/m\}} = 2.035$$
$$\epsilon = \frac{1 - \exp(-NTU(1 - C_r))}{1 - C_r \exp(-NTU(1 - C_r))} = 0.808$$
$$N = \epsilon \min\{G, L/m\} (y_i - mx_i) = 0.287 \text{ kmol/hr}$$

Therefore,

$$y_o = y_i - N/G = 0.005$$
  
 $x_o = x_i + N/L = 0.0063$ 

The outlet compositions match with those in Example 1.

**Example 3:** The local environmental protection agency mandates that your company increase the  $SO_2$  collection efficiency of your limestone scrubber from its current level, 90%, to 96%. You propose to do this by increasing the height of your scrubber. By what factor must the height be increased?

Using Eq. (28),

$$\frac{H_2}{H_1} = \frac{NTU_2}{NTU_1} = \frac{\ln(1-\epsilon_2)}{\ln(1-\epsilon_1)} = \frac{\ln(1-0.96)}{\ln(1-0.90)} = 1.398$$

Therefore, the height of the scrubber must be increased by 40%. The relationship between collection efficiency and column height is highly nonlinear! A MATLAB code for the detailed calculations is listed in Table 2.

#### REFERENCES

- 1. Dadach ZE (2016) An introductory chemical engineering course based on analogies and research-based learning. Int. J. Eng. Ed. 32(5): 2194–2203.
- 2. Fernandez-Torres MJ (2005) Analogies: Those little tricks that help students to understand basic concepts in chemical engineering. *Chem. Eng. Educ.* 39(4): 302–307.
- 3. Bird RB, Stewart WE, and Lightfoot EN (2007) *Transport Phenomena*, revised 2nd edition. John Wiley & Sons. New York, NY, USA.
- Geankoplis CJ (2003) Transport Processes and Separation Process Principles (Includes Unit Operations), 4th edition. Prentice Hall. Upper Saddle River, NJ, USA.
- Seader JD, Henley EJ, and Roper DK (2010) Separation Process Principles: Chemical and Biochemical Operations, 3rd edition. Wiley. New York, NY, USA.
- 6. Cussler EL (2009). Diffusion: Mass Transfer in Fluid Systems, 3rd edition. Cambridge University Press. New York, USA.
- 7. Dumont E (2019) KLa determination using the effectiveness-NTU method: Application to countercurrent absorbers in operation using viscous solvents for VOCs mass transfer. *ChemEngineering*. 3: 57.
- Colburn AP (1939) The simplified calculations of diffusional processes: General consideration of two-film resistances. *Trans. Am. Inst. Chem. Eng.* 35: 211-236.
- 9. Henzel DS (1981) Limestone FGD Scrubbers: User's Handbook. EPA-600/8-81-017. U.S. Environmental Protection Agency. Research Triangle Park, NC, USA. 🗖

## TABLE 2 MATLAB Code for the Illustrative Examples

```
clear all;clc;
%% calculate column height if three inlet/outlet compositions are known
(example 1)
m = 1.186; %slope of y* = mx
Kya = 1/(1/0.038 + m/0.062); %Kya in kmol/(m3 sec)
Ac = 0.186; %cross-sectional area in m2
G = 13.65; %gas flow in kmol/hr
L = 45.36; %liquid flow in kmol/hr
yi = 0.026; %inlet gas composition
yo = 0.005; %outlet gas composition
xi = 0;
           %inlet liquid composition
xo = G*(yi-yo)/L+xi; %outlet liquid composition
y ys1 = yi-m*xo;% y-y* at bottom
y ys2 = yo-m*xi;% y-y* at top
delta lm = (y ys1 - y ys2)/log(y ys1/y ys2);% log mean of y-y*
NoG = (yi-yo)/delta lm; % calculate NoG using log mean
NoG 2 = 1/(1-m*G/L)*log((1-m*G/L)*yi/yo+m*G/L); %NoG using Colburn's
Equation
HoG = G/3600/Kya/Ac; % HoG in meter
H = NoG*HoG; % column height in meter
fprintf('NoG = %7.3f, NoG 2 = %7.3f, HoG (m) = %7.3f, H (m) =
%7.3f\n',NoG,NoG 2,HoG, H);
%% Calculate yo and xo if column height is known (example 2)
NTU = Kya*Ac*H/min(G/3600,L/m/3600); % NTU
Cr = min(G, L/m) / max(G, L/m);  Cr
epsilon = (1-exp(-NTU*(1-Cr)))/(1-Cr*exp(-NTU*(1-Cr))); % effectiveness
Nmax = min(G,L/m)*(yi-m*xi); % max mass transfer rate in kmol/hr
N = epsilon*Nmax; % actual mass transfer rate in kmol/hr
yo = yi - N/G; % y at outlet
xo = xi + N/L; % x at outlet
fprintf('NTU = %7.3f, epsilon = %7.3f, N (kmol/hr) = %7.3f, yo = %7.4f, xo =
%7.4f\n',NTU,epsilon,N,yo,xo);
%% Calculate height ratio (example 3)
epsilon1 = 0.90;
epsilon2 = 0.96;
H2 H1 = \log(1-epsilon2)/\log(1-epsilon1);
fprintf('H2/H1 = %7.3f\n',H2 H1);
      2.035, NoG 2 = 2.035, HoG (m) = 0.926, H (m) = 1.885
NoG =
NTU =
       2.035, epsilon = 0.808, N (kmol/hr) = 0.287, yo = 0.0050, xo =
0.0063
H2/H1 =
         1.398
```